

60 Rec'd PCT/PTO 24 AUG 2001

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(REV. 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

9579-41

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (if known) 37 CFR 1.5

09/926070

INTERNATIONAL APPLICATION NO.

PCT/CA00/00191

INTERNATIONAL FILING DATE

February 25, 2000

PRIORITY DATE CLAIMED

February 26, 1999

TITLE OF INVENTION

Modulators of FGL2 Prothrombinase

APPLICANT(S) FOR DO/EO/US

Gary Levy

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: A copy of the published international application, the international search report and the international preliminary examination report

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; margin-top: 5px;">09/926070</div>		INTERNATIONAL APPLICATION NO. PCT/CA00/00191		ATTORNEY'S DOCKET NUMBER 9579-41																																																		
<div>21. <input checked="" type="checkbox"/> The following fees are submitted:</div> <div>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. \$1000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div></div>				CALCULATIONS PTO USE ONLY																																																		
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				<div><table border="1" style="width:100%; border-collapse: collapse;"><tr><th style="width:30%;">CLAIMS</th><th style="width:20%;">NUMBER FILED</th><th style="width:20%;">NUMBER EXTRA</th><th style="width:20%;">RATE</th></tr><tr><td>Total claims</td><td style="text-align: center;">- 20 =</td><td></td><td style="text-align: right;">x \$18.00</td></tr><tr><td>Independent claims</td><td style="text-align: center;">10 - 3 =</td><td style="text-align: center;">7</td><td style="text-align: right;">x \$80.00</td></tr><tr><td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td><td style="text-align: right;">+ \$270.00</td></tr><tr><td colspan="3" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</td><td style="text-align: right;">\$ 1,420.00</td></tr><tr><td colspan="3" style="vertical-align: top;"><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</td><td style="text-align: right; vertical-align: bottom;"><div>+</div><div>\$ 710.00</div></td></tr><tr><td colspan="3" style="text-align: right;">SUBTOTAL =</td><td style="text-align: right;">\$ 710.00</td></tr><tr><td colspan="3" style="vertical-align: top;">Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</td><td style="text-align: right; vertical-align: bottom;"><div>\$</div></td></tr><tr><td colspan="3" style="text-align: right;">TOTAL NATIONAL FEE =</td><td style="text-align: right;">\$ 710.00</td></tr><tr><td colspan="3" style="vertical-align: top;">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</td><td style="text-align: right; vertical-align: bottom;"><div>\$</div></td></tr><tr><td colspan="3" style="text-align: right;">TOTAL FEES ENCLOSED =</td><td style="text-align: right;">\$ 710.00</td></tr><tr><td colspan="3" rowspan="2"></td><td style="text-align: right; vertical-align: bottom;"><div>Amount to be refunded:</div><div>\$</div></td></tr><tr><td style="text-align: right; vertical-align: bottom;"><div>charged:</div><div>\$</div></td></tr></table></div>		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	- 20 =		x \$18.00	Independent claims	10 - 3 =	7	x \$80.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	TOTAL OF ABOVE CALCULATIONS =			\$ 1,420.00	<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.			<div>+</div> <div>\$ 710.00</div>	SUBTOTAL =			\$ 710.00	Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			<div>\$</div>	TOTAL NATIONAL FEE =			\$ 710.00	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			<div>\$</div>	TOTAL FEES ENCLOSED =			\$ 710.00				<div>Amount to be refunded:</div> <div>\$</div>	<div>charged:</div> <div>\$</div>
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a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>710.00</u> to cover the above fees is enclosed.																																																						
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.																																																						
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>022095</u> . A duplicate copy of this sheet is enclosed.																																																						
d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																																																						
<div>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</div> <div style="margin-top: 10px;">SEND ALL CORRESPONDENCE TO:</div> <div style="margin-top: 10px;"><div>Bereskin & Parr Box 401, 40 King Street West Toronto, Ontario Canada M5H 3Y2</div><div style="text-align: right; margin-top: 20px;"><div style="text-align: center;"> SIGNATURE Anita Nador NAME 47,366 REGISTRATION NUMBER</div></div></div>																																																						

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Our File No. 959940
09/926070

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August 23, 2001

The Commissioner of Patents & Trademarks
Washington, D.C., U.S.A. 20231

Dear Sir:

Transmitted herewith for entry into national phase of the patent application of

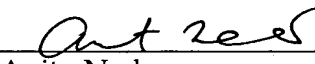
Inventor: Gary Levy

For: **Modulators of FGL2 Prothrombinase**
PCT Application No.: PCT/CA00/00191

The following papers are enclosed:

- ☐ Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) concerning a filing under 35 U.S.C. 371.
- ☒ Preliminary Amendment.
- ☒ A signed Declaration for Patent Application and Information Data Sheet.
- ☐ Our cheque no. _____ in the amount of \$ 710 (small entity) is enclosed.
- ☐ PAYMENT OF THE CLAIM FEES IS BEING DEFERRED.
- ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 02-2095.
- ☐ Convention priority is requested based on United States Patent Application No. 60/122,109 filed on February 26, 1999.

Respectfully submitted,



Anita Nador
Registration No. 47,366